



STATE OF NEW YORK
EXECUTIVE CHAMBER

ALBANY 12224

February 8, 2010

DAVID A. PATERSON
GOVERNOR

Lisa Jackson, Administrator
U.S. Environmental Protection Agency
Ariel Rios Building
1200 Pennsylvania Ave NW
Washington, DC 20460

Dear Ms. Jackson:

Pursuant to Title XV, Subtitle A, section 1511 of the American Recovery and Reinvestment Act of 2009 (Pub. L. 111-5) ("ARRA"), and the September 1, 2009 Grant Agreement from the U.S. Environmental Protection Agency to the New York State Department of Health (the "Grant Agreement"), I hereby certify that the infrastructure investments involving Beaver Dam Lake Water Corporation, Village of Sharon Springs, Village of Wurtsboro, Town of Orleans, Town of Richland, and Onondaga County Water Authority listed in the Attachment to this certification, which will be funded with amounts made available by ARRA under the heading "Drinking Water State Revolving Fund" to the Environmental Protection Agency, have received the full review and vetting required by law. I accept responsibility that such investments are appropriate uses of taxpayer dollars. I further certify that the specific information required by ARRA § 1511 and the Grant Agreement concerning such investments (a description of the investments, the estimated total cost, and the amount of ARRA funds to be used) is summarized within the Drinking Water State Revolving Fund link to be posted on the public website at <http://www.recovery.ny.gov>, and linked to www.Recovery.gov.

Very truly yours,

A handwritten signature in black ink that reads "David A. Paterson".

David A. Paterson
Governor

Attachment

c: Commissioner Richard F. Daines, M.D.

ATTACHMENT

- **Beaver Dam Lake Water Corporation, Orange County** – Total project cost of \$2,127,000, of which \$2,127,000 is being financed through the Drinking Water SRF program. The Town is eligible for up to \$1,010,188 in ARRA principal forgiveness. This project includes a new ground water source, a water storage tank and distribution system. Beaver Dam Lake Water Corporation will own, operate and maintain the project.

The contract execution schedule for general construction, plumbing, HVAC and electrical contracts this project is as follows:

| | |
|-----------------------------------|-------------------|
| - Submit Plans and Specifications | December 1, 2009 |
| - Advertise for Bids | October 22, 2009 |
| - Open Bids | November 23, 2009 |
| - Award Bids | December 14, 2009 |
| - Execute Contracts | December 29, 2009 |
| - Construction Completion | December 31, 2010 |

SEQR/SERP – Pursuant to an operating agreement between the NYS Department of Health (DOH) and the U.S. Environmental Protection Agency (USEPA), an environmental review in accordance with the State Environmental Review Process (SERP, a NEPA-like review agreed to in the operating agreement) is undertaken with respect to each action or project financed. The Corporation has determined that this action will finance a project which will not have a significant impact on the environment: (1) the DOH has certified that this project complied with SEQR and complies substantively with SERP; (2) no potentially large impacts have been identified; and (3) the Town of New Windsor Planning Board, acting as lead agency, has issued a negative declaration. The Project is subject to DEC Permit #3-3348-00243/0001 WSA #11308, which has no expiration date.

Resolutions/Agreements – The project was authorized pursuant to resolutions passed by the Board of Directors of Beaver Dam Lake Water Corporation on January 28, 2008, and September 14, 2009, authorizing an aggregate principal amount of \$2,242,900 in financing for the provision of water services in the corporation's service area within the Towns of New Windsor and Cornwall, County of Orange, New York. Additionally, financial assistance will be provided pursuant to a Project Finance Agreement executed by and between the New York State Environmental Facilities Corporation and Beaver Dam Lake Water Corporation.

Disadvantaged Business Enterprises/Minority/Women's Business Enterprises (MWBE) – the fair share objectives are negotiated with the USEPA using the guidance provided in 40 CFR, Part 33, Subpart D, and set forth in the Grant Agreement.

The MWBE fair share goals are as follows:

| | |
|-----------|-----------------------------|
| MBE goal: | construction contracts –6%; |
| | service contracts- 8.8% |
| WBE goal: | construction contracts –6%; |
| | service contracts –8.8% |

- **Village of Sharon Springs** – Total project cost in the amount of \$247,950, of which \$203,148 is being funded through the Drinking Water SRF Green Innovation Grant Program. The Village is eligible for up to \$203,148 in ARRA grant. Of the total project cost, \$203,148 has been approved by U.S. Environmental Protection Agency (USEPA) as “green” in furtherance of the requirement that not less than 20% of the funds appropriated in ARRA be for projects that address green infrastructure, water or energy efficiency improvements or other environmentally innovative activities. A minimum of 10% of the project cost will be the local share covered by the Village. This project involves the installation of 306 water meters to promote water conservation. The Village of Sharon Springs will own, operate and maintain the project.

The project schedule is as follows:

- Submit Plans and Specifications: November 12, 2009
- Advertise for Bids: October 28, 2009
- Open Bids: November 24, 2009
- Award Bids: December 7, 2009
- Execute Contracts: December 22, 2009
- Construction Commencement: February 1, 2010
- Construction Completion: November 30, 2010

SEQR/SERP – Pursuant to an operating agreement between the NYS Department of Health (DOH) and the USEPA, an environmental review in accordance with the State Environmental Review Process (SERP, a NEPA-like review agreed to in the operating agreement) is undertaken with respect to each action or project financed. The Corporation has determined that this action will finance a project which will not have a significant impact on the environment: The Corporation has determined that this action will finance a project that constitutes “maintenance or repair involving no substantial changes in an existing structure or facility” which is a Type II action under SEQR, pursuant to 6 NYCRR Part 617.5(c)(1).

Resolutions/Agreements – The grant application and agreement were authorized pursuant to a resolution passed by the Village of Sharon Springs Board of Trustees on November 19, 2009. The expenditure of 10% of the cost derived from in-kind services from the Village Department of Public Works and from the Village’s Water fund was authorized by resolution dated January 21, 2010. Additionally, financial assistance will be provided pursuant to a Grant Agreement executed by and between the New York State Environmental Facilities Corporation and the Village of Sharon Springs.

Disadvantaged Business Enterprises/Minority/Women’s Business Enterprises (MWBE) – the fair share objectives are negotiated with the USEPA using the guidance provided in 40 CFR, Part 33, Subpart D, and set forth in the Grant Agreement.

The MWBE fair share goals are as follows:

- MBE goal: construction contracts –6.0%;
service contracts- 8.8%
- WBE goal: construction contracts –6.0%;
service contracts –8.8%

- Village of Wurtsboro** – Total project cost in the amount of \$585,524, of which \$201,438 is being funded through the Drinking Water SRF Green Innovation Grant Program. The remaining project costs will be funded through U.S. Department of Agriculture Rural Development bonds issued by the Village. The Village is eligible for up to \$201,438 in ARRA grant. Of the total project cost, \$201,438 has been approved by U.S. Environmental Protection Agency (USEPA) as “green” in furtherance of the requirement that not less than 20% of the funds appropriated in ARRA be for projects that address green infrastructure, water or energy efficiency improvements or other environmentally innovative activities. A minimum of 10% of the project cost will be the local share covered by the Village. This project involves the installation of 589 water meters to promote water conservation. The Village of Sharon Springs will own, operate and maintain the project.

The project schedule is as follows:

- Submit Plans and Specifications: November 13, 2009
- Advertise for Bids: November 18, 2009
- Open Bids: December 15, 2009
- Award Bids: December 15, 2009
- Execute Contracts: January 4, 2010
- Construction Commencement: February 1, 2010
- Construction Completion: June 30, 2010

SEQR/SERP – Pursuant to an operating agreement between the NYS Department of Health (DOH) and the USEPA, an environmental review in accordance with the State Environmental Review Process (SERP, a NEPA-like review agreed to in the operating agreement) is undertaken with respect to each action or project financed. The Corporation has determined that this action will finance a project which will not have a significant impact on the environment: The Corporation has determined that this action will finance a project that constitutes “extension of water distribution facilities in approved subdivisions” which is a Type II action under SEQR, pursuant to 6 NYCRR Part 617.5(c)(11).

Resolutions/Agreements – The grant application and agreement were authorized pursuant to a resolution passed by the Village of Wurtsboro Board of Trustees on November 9, 2009. The expenditure of 10% of the cost of the project from the capital fund was authorized by resolution dated November 9, 2009. Additionally, financial assistance will be provided pursuant to a Grant Agreement executed by and between the New York State Environmental Facilities Corporation and the Village of Wurtsboro.

Disadvantaged Business Enterprises/Minority/Women’s Business Enterprises (MWBE) – the fair share objectives are negotiated with the USEPA using the guidance provided in 40 CFR, Part 33, Subpart D, and set forth in the Grant Agreement.

The MWBE fair share goals are as follows:

- MBE goal: construction contracts –6.0%;
service contracts- 8.8%
- WBE goal: construction contracts –6.0%;
service contracts –8.8%

- **Town of Orleans, Jefferson County** – Total project cost in the amount of \$231,198, of which \$208,078 is being funded through the Drinking Water SRF Green Innovation Grant Program. The Town is eligible for up to \$208,078 in ARRA grant. Of the total project cost, \$208,078 has been approved by U.S. Environmental Protection Agency (USEPA) as “green” in furtherance of the requirement that not less than 20% of the funds appropriated in ARRA be for projects that address green infrastructure, water or energy efficiency improvements or other environmentally innovative activities. A minimum of 10% of the project cost will be the local share covered by the Town. This project will finance the costs associated with the purchase and installation of new water meters for the water distribution system in the Hamlet of LaFargeville in the Town of Orleans. To promote water conservation, customers will be billed a metered rate based on consumption. The Town of Orleans will own, operate and maintain the project.

The project schedule is as follows:

| | |
|-----------------------------------|-------------------|
| - Submit Plans and Specifications | October 29, 2009 |
| - Advertise for Bids: | December 2, 2009 |
| - Open Bids: | December 10, 2009 |
| - Award Bids | December 15, 2009 |
| - Execute Contracts | December 30, 2009 |
| - Construction Commencement: | January 15, 2010 |
| - Construction Completion: | June 4, 2010 |

SEQR/SERP – Pursuant to an operating agreement between the NYS Department of Health (DOH) and the USEPA, an environmental review in accordance with the State Environmental Review Process (SERP, a NEPA-like review agreed to in the operating agreement) is undertaken with respect to each action or project financed. The Corporation has determined that the proposed action will not have a significant adverse impact on the environment: (1) the DOH has certified that this project is in compliance with the SERP, (2) no potentially large impacts have been identified, and (3) the Town of Orleans, acting as lead agency, has issued a negative declaration.

Resolutions/Agreements – The grant application and agreement were authorized pursuant to a resolution passed by the Town of Orleans Board of Trustees on November 5, 2009. The expenditure of 10% of the cost of the project from the Capital Project Fund was authorized by resolution dated December 15, 2009. Additionally, financial assistance will be provided pursuant to a Grant Agreement executed by and between the New York State Environmental Facilities Corporation and the Town of Orleans.

Disadvantaged Business Enterprises/Minority/Women’s Business Enterprises (MWBE) – the fair share objectives are negotiated with the USEPA using the guidance provided in 40 CFR, Part 33, Subpart D, and set forth in the Grant Agreement.

The MWBE fair share goals are as follows:

| | |
|-----------|------------------------------|
| MBE goal: | construction contracts – 6%; |
| | service contracts- 8.8% |
| WBE goal: | construction contracts – 6%; |
| | service contracts – 8.8% |

- **Town of Richland** – Total project cost in the amount of \$976,400, of which \$585,000 is being funded through the Drinking Water SRF Green Innovation Grant Program. The Town is eligible for up to \$585,000 in ARRA grant. Of the total project cost, \$585,000 has been approved by U.S. Environmental Protection Agency (USEPA) as “green” in furtherance of the requirement that not less than 20% of the funds appropriated in ARRA be for projects that address green infrastructure, water or energy efficiency improvements or other environmentally innovative activities. A minimum of 10% of the project cost will be the local share covered by the Town. This project involves the installation of a 100 kilowatt (KW) wind turbine and a new hypochlorite generation system at the Schoeller well site. The Town of Richland will own, operate and maintain the project.

The contract execution schedule for this project is as follows:

- Submit Plans and Specifications November 16, 2009
- Advertise for Bids November 13, 2009
- Open Bids December 3, 2009
- Award Bids December 22, 2009
- Execute Contracts December 30, 2009
- Construction Commencement February 15, 2010
- Construction Completion December 12, 2010

SEQR/SERP – Pursuant to an operating agreement between the NYS Department of Health (DOH) and the USEPA, an environmental review in accordance with the State Environmental Review Process (SERP, a NEPA-like review agreed to in the operating agreement) is undertaken with respect to each action or project financed. The Corporation has determined that the proposed action will finance a project which will not have a significant adverse impact on the environment: (1) DOH has issued a SERP Certification; (2) no potentially large impacts have been identified; and (3) the Town of Richland, acting as lead agency, has issued a negative declaration.

Resolutions/Agreements – The grant application and agreement were authorized pursuant to resolutions passed by the Town Board of the Town of Richland on November 10, 2009 and January 26, 2010. Financial assistance will be provided pursuant to a Grant Agreement executed by and between the New York State Environmental Facilities Corporation and the Town of Richland.

Disadvantaged Business Enterprises/Minority/Women’s Business Enterprises (MWBE) – the fair share objectives are negotiated with the USEPA using the guidance provided in 40 CFR, Part 33, Subpart D, and set forth in the Grant Agreement.

The MWBE fair share goals are as follows:

- MBE goal: construction contracts – 6%;
- service contracts- 8.8%
- WBE goal: construction contracts – 6%;
- service contracts – 8.8%

- **Onondaga County Water Authority, Onondaga County** – Total project cost of \$13,989,021, all of which is being financed through the Drinking Water SRF program. The Authority is eligible for up to \$6,131,389 in ARRA principal forgiveness, subject to the availability of ARRA Funds in excess of the \$6,010,293 currently available for the Authority’s portion of the project. This project involves the design and construction of a new 20 million gallon concrete finished water storage tank to replace the existing Western reservoir. The Authority will own, operate and maintain the project.

The contract execution schedule for this project is as follows:

| | |
|-----------------------------------|----------|
| - Submit Plans and Specifications | 10/14/08 |
| - Advertise for Bids | 11/17/08 |
| - Open Bids | 12/18/08 |
| - Award Bids | 2/20/09 |
| - Execute Contracts | 2/20/09 |
| - Construction Completion | 12/8/10 |

SEQR/SERP – Pursuant to an operating agreement between the NYS Department of Health (DOH) and the U.S. Environmental Protection Agency (USEPA), an environmental review in accordance with the State Environmental Review Process (SERP, a NEPA-like review agreed to in the operating agreement) is undertaken with respect to each action or project financed. The Corporation has determined that this action will finance the construction of a project which will not have a significant impact on the environment: (1) the DOH has certified that this project is in compliance with the DWSRF SERP; (2) no potentially large impacts have been identified and (3) the Onondaga County Water Authority, acting as lead agency, has determined that the project will not have a significant impact on the environment

Resolutions/Agreements – The project was authorized pursuant to a General Resolution adopted by Authority on March 14, 2001 and a Series Resolution adopted by the Authority on January 21, 2009 for the purpose of constructing the project. These resolutions authorized the issuance of bonds or notes totaling \$38,000,000 for this purpose. Financial assistance will be provided pursuant to a financing agreement executed by and between the New York State Environmental Facilities Corporation and the Onondaga County Water Authority.

Disadvantaged Business Enterprises/Minority/Women’s Business Enterprises (MWBE) – the fair share objectives are negotiated with the USEPA using the guidance provided in 40 CFR, Part 33, Subpart D, and set forth in the Grant Agreement.

The MWBE fair share goals are as follows:

| | |
|-----------|------------------------------|
| MBE goal: | construction contracts – 6 % |
| | service contracts- 8.8 % |
| WBE goal: | construction contracts – 6 % |
| | service contracts – 8.8 % |