STATE OF NEW YORK
EXECUTIVE CHAMBER
ALBANY 12224

DAVID A. PATERSON
GOVERNOR

August 19, 2009

Lisa Jackson, Administrator
U.S. Environmental Protection Agency
Ariel Rios Building
1200 Pennsylvania Ave NW
Washington, DC 20460

Dear Administrator Jackson:

Pursuant to Title XV, Subtitle A, section 1511 of the American Recovery and Reinvestment Act of 2009 (Pub. L. 111-5) ("ARRA"), and the April 2, 2009 Grant Agreement from the U.S. Environmental Protection Agency to the New York State Department of Environmental Conservation (the "Grant Agreement"), I hereby certify that the infrastructure investments involving the Village of Greenport, the Village of Sackets Harbor, the Town of Greenville, the Village of Patchogue, the City of Plattsburgh, the County of Rockland, the Village of Lawrence, and the Village of Cedarhurst listed in the Attachment to this certification, which will be funded with amounts made available by ARRA under the heading "Clean Water State Revolving Fund" to the Environmental Protection Agency, have received the full review and vetting required by law. I accept responsibility that such investments are appropriate uses of taxpayer dollars. I further certify that the specific information required by ARRA § 1511 and the Grant Agreement concerning such investments (a description of the investments, the estimated total cost, and the amount of ARRA funds to be used) is summarized within the Clean Water State Revolving Fund link to be posted on the public website at http://www.recovery.ny.gov, and linked to www.Recovery.gov.

Very truly yours,

David A. Paterson

David A. Paterson
Governor

Attachment

c: Commissioner Alexander B. Grannis

ATTACHMENT

- **Village of Greenport, Suffolk County** – Total project cost in the amount of $6,193,424, of which $3,996,308 is being financed through the Clean Water SRF program. The Village is eligible for up to $2,928,478 in ARRA principal forgiveness. This financing will support the planning, design and construction of Phase II facility improvements for the Village of Greenport. These include the Full-Scale BNR (Biological Nutrient Removal), UV (Ultraviolet Light Disinfection) Upgrade, and other improvements to the Village's water pollution control plant. The Village of Greenport will own, operate and maintain the Project.

  The contract execution schedule for this project is as follows:

- Submit Plans and Specifications	July 24, 2009
- Advertise for Bids	August 7, 2009
- Open Bids	September 23, 2009
- Award Bids	October 30, 2009
- Execute Contracts	November 13, 2009
- Construction Completion	May 20, 2011

  SEQR/SERP – Pursuant to an operating agreement between the NYS Department of Environmental Conservation (DEC) and the US Environmental Protection Agency, an environmental review in accordance with the State Environmental Review Process (SERP, a NEPA-like review agreed to in the operating agreement) is undertaken with respect to each action or project financed. The Corporation has determined that this action will finance a project which will not have a significant impact on the environment: (1) the DEC has certified that this project is in compliance with the SERP; (2) no potentially large impacts have been identified; and (3) the Village of Greenport, acting as lead agency, has issued a negative declaration. The Project is subject to DEC SPDES Permit No. 0020079, which expires on August 31, 2012.

  Resolutions/ Agreements – The project was authorized pursuant to bond resolutions passed by the Board of Trustees of the Village of Greenport dated July 16, 2007 and January 14, 2008, authorizing a total aggregate issuance of $6,500,000 in bonds for the purpose of the reconstruction of the wastewater treatment plant and portions of the sanitary sewer collection system in the Village of Greenport. Financial assistance will be provided pursuant to a Project Finance Agreement executed by and between the New York State Environmental Facilities Corporation and the Village of Greenport.

  Disadvantaged Business Enterprises/Minority/Women's Business Enterprises (MWBE) – the fair share objectives are negotiated with the US Environmental Protection Agency using the guidance provided in 40 CFR, Part 33, Subpart D, and set forth in the Grant Agreement.
  The MWBE fair share goals are as follows:

MBE goal:	construction contracts – 21.5%;
	service contracts- 18.8%
WBE goal:	construction contracts – 13.7%;
	service contracts – 20.5%

- **Village of Sackets Harbor, Jefferson County** – Total project cost in the amount of $8,200,000 is being financed through the Clean Water SRF program. This financing is in part a prefinance of a United States Department of Agriculture New York Rural Development Loan of $100,000 and grant of $1,900,000. The Village is eligible for up to $2,000,000 in ARRA principal forgiveness. This project, which will be undertaken by the Village of Sackets Harbor, will finance the costs of planning, design and construction of improvements to the Village's wastewater collection system. The Village of Sackets Harbor will own, operate and maintain the project.

  The contract execution schedule for this project is as follows:

- Submit Plans and Specifications	August 1, 2009
- Advertise for Bids	September 15, 2009
- Open Bids	October 15, 2009
- Award Bids	November 15, 2009
- Execute Contracts	January 1, 2009
- Construction Completion	May 1, 2011

  SEQR/SERP – Pursuant to an operating agreement between the NYS Department of Environmental Conservation (DEC) and the US Environmental Protection Agency, an environmental review in accordance with the State Environmental Review Process (SERP, a NEPA-like review agreed to in the operating agreement) is undertaken with respect to each action or project financed. The Corporation has determined that this action will finance a project that will not have a significant adverse impact on the environment: (1) the DEC has certified that this project is in compliance with the SERP, (2) no potentially large impacts have been identified; and (3) the Village of Sackets Harbor, acting as lead agency, has issued a negative declaration. The Project is subject to DEC SPDES Permit No. 0027014, which expires on October 1, 2010.

  Resolutions/ Agreements – The project was authorized pursuant to a bond resolution passed by the Board of Trustees of the Village of Sackets Harbor dated February 11, 2009 authorizing the issuance of $9,500,000 in bonds for the purpose of the construction, reconstruction of, and improvements to the wastewater treatment and collection system of the Village of Sackets Harbor. Financial assistance will be provided pursuant to a Project Finance Agreement executed by and between the New York State Environmental Facilities Corporation and the Village of Sackets Harbor.

  Disadvantaged Business Enterprises/Minority/Women's Business Enterprises (MWBE) – the fair share objectives are negotiated with the US Environmental Protection Agency using the guidance provided in 40 CFR, Part 33, Subpart D, and set forth in the Grant Agreement. The MWBE fair share goals are as follows:

MBE goal:	construction contracts – 6%;
	service contracts- 8.8%
WBE goal:	construction contracts – 6%;
	service contracts – 8.8%

- **Town of Greenville, Greene County** – Total project cost in the amount of $928,000, of which $728,000 is being financed through the Clean Water SRF program. The Town is eligible for up to $521,256 in ARRA principal forgiveness. This financing will support the planning, design and construction of improvements to the Town of Greenville wastewater treatment facilities. The Town of Greenville will own, operate and maintain the Project.

  The contract execution schedule for this project is as follows:

- Submit Plans and Specifications	Phase I	August 21, 2008
	Phase II	August 17, 2009 (Tentative)
- Advertise for Bids	Phase I	August 28, 2008
	Phase II	October 1, 2009 (T)
- Open Bids	Phase I	September 19, 2008
	Phase II	November 1, 2009 (T)
- Award Bids	Phase I	September 19, 2008
	Phase II	December 1, 2009 (T)
- Execute Contracts	Phase I	September 24, 2008
	Phase II	December 15, 2009 (T)
- Construction Completion	Phase I	August 31, 2009 (T)
	Phase II	January 1, 2011 (T)

SEQR/SERP – Pursuant to an operating agreement between the NYS Department of Environmental Conservation (DEC) and the US Environmental Protection Agency, an environmental review in accordance with the State Environmental Review Process (SERP, a NEPA-like review agreed to in the operating agreement) is undertaken with respect to each action or project financed. The Corporation has determined that this action will finance a project which will not have a significant impact on the environment: (1) the DEC has certified that this project is in compliance with the SERP; (2) no potentially large impacts have been identified; and (3) the Town of Greenville, acting as lead agency, has issued a negative declaration. The Project is subject to SPDES Permit No. 0094854, which expires on October 31, 2010.

Resolutions/Agreements – The project was authorized pursuant to bond resolutions passed by the Town Board of the Town of Greenville dated July 7, 2008 and January 7, 2009, authorizing a total aggregate issuance of $828,000 in bonds for the purpose of upgrading the Town's wastewater treatment plant. Financial assistance will be provided pursuant to a Project Finance Agreement executed by and between the New York State Environmental Facilities Corporation and the Town of Greenville.

Disadvantaged Business Enterprises/Minority/Women's Business Enterprises (MWBE) – the fair share objectives are negotiated with the US Environmental Protection Agency using the guidance provided in 40 CFR, Part 33, Subpart D, and set forth in the Grant Agreement.
The MWBE fair share goals are as follows:

MBE goal:	construction contracts – 6%; service contracts- 8.8%
WBE goal:	construction contracts – 6%; service contracts – 8.8%

- **Village of Patchogue, Suffolk County –** Total project cost of $8,250,000, of which $5,836,504 is being financed through the Clean Water SRF program. The Village is eligible for up to $2,600,000 in ARRA principal forgiveness. This financing will support the planning, design and construction of upgrades and expansion to the Village of Patchogue Water Pollution Control Plant (WPCP). These proposed improvements will increase the capacity of the facility and include Biological Nitrogen Removal (BNR), Ultraviolet (UV) light disinfection upgrade and the upgrade of the facility's sludge handling and storage system with the installation of an MBT Membrane Sludge Thickening process. The Village of Patchogue will own, operate and maintain the project.

  The contract execution schedule for this project is as follows:

- Submit Plans and Specifications	September 30, 2009
- Advertise for Bids	October 1, 2009
- Open Bids	November 12, 2009
- Award Bids	November 31, 2009
- Execute Contracts	December 31, 2009
- Construction Completion	June 27, 2011

  SEQR/SERP – Pursuant to an operating agreement between the NYS Department of Environmental Conservation and the US Environmental Protection Agency, an environmental review in accordance with the State Environmental Review Process (SERP, a NEPA-like review agreed to in the operating agreement) is undertaken with respect to each action or project financed. The Corporation has determined that this action will finance the construction of a project that will not have a significant effect on the environment: (1) DEC has certified that this project is in compliance with SERP; (2) no potentially large impacts have been identified; and (3) the Village of Patchogue, acting as lead agency, has determined that the project will not have a significant effect on the environment.

  The Project is subject to DEC SPDES Permit No. 002 3922, which expires on March 1, 2012.

  Resolutions/Agreements – The project was authorized pursuant to a bond resolution passed by the Board of Trustees of the Village of Patchogue dated March 9, 2009 authorizing the issuance of $8,250,000 in bonds for the purpose of making improvements to and expansion of the wastewater treatment facility in and for the Village of Patchogue. Financial assistance will be provided pursuant to a Project Finance Agreement executed by and between the New York State Environmental Facilities Corporation and the Village of Patchogue. The Village of Patchogue's ARRA financing derives from the Town of Brookhaven's (C1-5154-06) agreement to provide $2,600,000 in ARRA funds.

Disadvantaged Business Enterprises/Minority/Women's Business Enterprises (MWBE) – the fair share objectives are negotiated with the US Environmental Protection Agency using the guidance provided in 40 CFR, Part 33, Subpart D, and set forth in the Capitalization Grant.

The MWBE fair share goals are as follows:

MBE goal:	construction contracts – 21.5%;
	service contracts- 18.8%
WBE goal:	construction contracts – 13.7%;
	service contracts – 20.5%

- **County of Rockland** – Total project cost in the amount of $10,000,000 is being financed through the Clean Water SRF program. The County is eligible for up to $4,952,112.50 in ARRA principal forgiveness. This project, which will be undertaken by the County of Rockland, will finance the costs of design and construction of the Phase II upgrades to the Rockland County Sewer District No. 1 Wastewater Treatment Plant and the Hackensack Pump Station equipment. The County of Rockland will own, operate and maintain the project.

The contract execution schedule for this project is as follows:

Wastewater Treatment Plant – Masonry & Roof Repairs

- Submit Plans and Specifications	February 11, 2009
- Advertise for Bids	February 11, 2009
- Open Bids	March 4, 2009
- Award Bids	May 4, 2009
- Execute Contracts	May 8, 2009
- Construction Completion	August 22, 2010

Hackensack River Pump Station Upgrade

- Submit Plans and Specifications	October 14, 2009
- Advertise for Bids	October 14, 2009
- Open Bids	November 12, 2009
- Award Bids	November 19, 2009
- Execute Contracts	November 30, 2009
- Construction Completion	December 1, 2010

Pump Station Variable Frequency Drive Replacement

- Submit Plans and Specifications	July 16, 2009
- Advertise for Bids	July 16, 2009
- Open Bids	August 12, 2009
- Award Bids	August 27, 2009
- Execute Contracts	November 1, 2009
- Construction Completion	August 1, 2010

Wastewater Treatment Plant – Electrical Upgrade

- Submit Plans and Specifications	August 18, 2009
- Advertise for Bids	August 18, 2009
- Open Bids	September 24, 2009
- Award Bids	September 24, 2009
- Execute Contracts	November 15, 2009
- Construction Completion	September 1, 2010

Wastewater Treatment Plant – Equipment Upgrade

- Submit Plans and Specifications	October 14, 2009
- Advertise for Bids	October 14, 2009
- Open Bids	November 12, 2009
- Award Bids	November 19, 2009
- Execute Contracts	December 1, 2009
- Construction Completion	December 30, 2010

SEQR/SERP – Pursuant to an operating agreement between the NYS Department of Environmental Conservation (DEC) and the US Environmental Protection Agency, an environmental review in accordance with the State Environmental Review Process (SERP, a NEPA-like review agreed to in the operating agreement) is undertaken with respect to each action or project financed. The Corporation has determined that this action will finance a project that will constitute the "replacement, rehabilitation or reconstruction of a structure or facility, in kind" which is a "Type II" action under SEQR, pursuant to 6 NYCRR 617.5(c)(2). The Project is subject to DEC SPDES Permit No. 0031895, which expires on November 30, 2013.

Resolutions/ Agreements – The project was authorized pursuant to a bond resolution passed by the County Legislature of the County of Rockland dated May 6, 2008 for the purpose of design and construction of Phase II upgrades to the Rockland County Sewer District No. 1 Wastewater Treatment Plant and the Hackensack Pump Station equipment. This bond resolution authorized the issuance of bonds totaling $10,000,000 for this purpose. Financial assistance will be provided pursuant to a Project Finance Agreement executed by and between the New York State Environmental Facilities Corporation and the County of Rockland.

Disadvantaged Business Enterprises/Minority/Women's Business Enterprises (MWBE) – the fair share objectives are negotiated with the US Environmental Protection Agency using the guidance provided in 40 CFR, Part 33, Subpart D, and set forth in the Grant Agreement. The MWBE fair share goals are as follows:

MBE goal:	construction contracts – 6%; service contracts- 8.8%
WBE goal:	construction contracts – 6%; service contracts – 8.8%

- **City of Plattsburgh, Clinton County** – Total project cost in the amount of $4,700,000, of which $4,691,000 is being financed through the Clean Water SRF program. The City is eligible for up to $2,336,492 in ARRA principal forgiveness. This project, which will be undertaken by the City of Plattsburgh, will finance the costs of planning, design and construction of a landfill cap system to properly close the City of Plattsburgh (Akey Road) Landfill. The City of Plattsburgh will own, operate and maintain the project.

  The contract execution schedule for this project is as follows:

- Plans and Specifications Approved	February 27, 2007
- Advertise for Bids	September 1, 2009
- Open Bids	October 1, 2009
- Award Bids	October 8, 2009
- Execute Contracts	October 13, 2009
- Construction Completion	October 15, 2010

  SEQR/SERP – Pursuant to an operating agreement between the NYS Department of Environmental Conservation (DEC) and the US Environmental Protection Agency, an environmental review in accordance with the State Environmental Review Process (SERP, a NEPA-like review agreed to in the operating agreement) is undertaken with respect to each action or project financed. The Corporation has determined that this action will finance a project which constitutes "...a particular course of action specifically required to be undertaken pursuant to a judgment or order..." which is a "Type II" action under SEQR, pursuant to 6 NYCRR Section 617.5 (c)(29). The Project is not subject to a DEC SPDES Permit.

  Resolutions/ Agreements – The project was authorized pursuant to a bond resolution passed by the Common Council of the City of Plattsburgh dated April 23, 2009 for the purpose of closing the City of Plattsburgh (Akey Road) Landfill. This bond resolution authorized the issuance of bonds totaling $5,300,000 for this purpose. Financial assistance will be provided pursuant to a Project Finance Agreement executed by and between the New York State Environmental Facilities Corporation and the City of Plattsburgh.

  Disadvantaged Business Enterprises/Minority/Women's Business Enterprises (MWBE) – the fair share objectives are negotiated with the US Environmental Protection Agency using the guidance provided in 40 CFR, Part 33, Subpart D, and set forth in the Grant Agreement. The MWBE fair share goals are as follows:

MBE goal:	construction contracts – 6%; service contracts- 8.8%
WBE goal:	construction contracts – 6%; service contracts – 8.8%

- **Village of Cedarhurst, Nassau County –** Total project costs of $11,700,305, allocable to the Village, are being financed through the Clean Water SRF program. The Village is eligible for up to $5,850,153 in ARRA principal forgiveness, subject to the availability of ARRA Funds in excess of the $5,307,531 currently available for the Village's portion of the project. This joint project with the Village of Lawrence and County of Nassau will finance the costs of planning, design and construction of pump station improvements and force main and gravity sewer installation to convey the Villages' wastewater to the County's Bay Park Sewage Treatment Plant. The County of Nassau will own, operate and maintain the project.

  The contract execution schedule for this project is as follows:

- Submit Plans and Specifications	August 10, 2009
- Advertise for Bids	July 16, 2009
- Open Bids	August 25, 2009
- Award Bids	October 15, 2009
- Execute Contracts	November 23, 2009
- Construction Completion	December 1, 2011

  SEQR/SERP – Pursuant to an operating agreement between the NYS Department of Environmental Conservation (DEC) and the US Environmental Protection Agency, an environmental review in accordance with the State Environmental Review Process (SERP, a NEPA-like review agreed to in the operating agreement) is undertaken with respect to each action or project financed. The Corporation has determined that the proposed action will finance a project which constitutes a "replacement, rehabilitation or reconstruction of a structure or facility, in kind," and an "extension of…sewer connections to render service in approved subdivisions…" which are Type II actions under SEQR pursuant to 6 NYCRR 617.5(c)(2) and (11). The project is subject to DEC SPDES Permit No. 0022462, which expires on May 31, 2010, and will be eliminated by the project.

  Resolutions/ Agreements – The project was authorized pursuant to a bond resolution passed by the Board of Trustees of the Village of Cedarhurst dated March 18, 2009 authorizing the issuances of $12,000,000 in bonds for the purpose of the construction of a diversion project with the County of Nassau, including sewer mains, a pump station and decommissioning of the existing wastewater treatment plant. Financial assistance will be provided pursuant to a Project Finance Agreement executed by and between the New York State Environmental Facilities Corporation and the Village of Cedarhurst.

  Disadvantaged Business Enterprises/Minority/Women's Business Enterprises (MWBE) – the fair share objectives are negotiated with the US Environmental Protection Agency using the guidance provided in 40 CFR, Part 33, Subpart D, and set forth in the Grant Agreement. The MWBE fair share goals are as follows:

MBE goal:	construction contracts – 21.5%;
	service contracts- 18.8%
WBE goal:	construction contracts – 13.7%;
	service contracts – 20.5%

- **Village of Lawrence, Nassau County** – Total project costs allocable to the Village are $13,649,064, of which, $12,484,568 are being financed through the Clean Water SRF program. The Village is eligible for up to $6,242,284 in ARRA principal forgiveness, subject to the availability of ARRA Funds in excess of the $5,663,292 currently available for the Village's portion of the project. This joint project with the Village of Cedarhurst and County of Nassau will finance the costs of planning, design and construction of pump station improvements and force main and gravity sewer installation to convey the Villages' wastewater to the County's Bay Park Sewage Treatment Plant. The County of Nassau will own, operate and maintain the project.

  The contract execution schedule for this project is as follows:

- Submit Plans and Specifications	August 10, 2009
- Advertise for Bids	July 16, 2009
- Open Bids	August 25, 2009
- Award Bids	October 15, 2009
- Execute Contracts	November 23, 2009
- Construction Completion	December 1, 2011

  SEQR/SERP – Pursuant to an operating agreement between the NYS Department of Environmental Conservation (DEC) and the US Environmental Protection Agency, an environmental review in accordance with the State Environmental Review Process (SERP, a NEPA-like review agreed to in the operating agreement) is undertaken with respect to each action or project financed. The Corporation has determined that the proposed action will finance a project which constitutes a "replacement, rehabilitation or reconstruction of a structure or facility, in kind," and an "extension of…sewer connections to render service in approved subdivisions…" which are Type II actions under SEQR pursuant to 6 NYCRR 617.5(c)(2) and (11). The project is subject to DEC SPDES Permit No. 0020354, which expires on September 30, 2009, and will be eliminated by the project.

  Resolutions/ Agreements – The project was authorized pursuant to a bond resolution passed by the Board of Trustees of the Village of Cedarhurst dated March 31, 2009 authorizing the issuances of $14,000,000 in bonds for the purpose of consolidating the Village's wastewater collection, conveyance and treatment infrastructure into Nassau County's sewage collection and treatment system. Financial assistance will be provided pursuant to a Project Finance Agreement executed by and between the New York State Environmental Facilities Corporation and the Village of Lawrence.

  Disadvantaged Business Enterprises/Minority/Women's Business Enterprises (MWBE) – the fair share objectives are negotiated with the US Environmental Protection Agency using the guidance provided in 40 CFR, Part 33, Subpart D, and set forth in the Grant Agreement. The MWBE fair share goals are as follows:

MBE goal:	construction contracts – 21.5%; service contracts- 18.8%
WBE goal:	construction contracts – 13.7%; service contracts – 20.5%